دعوة 2017 RFP2

م / نظام الرقابة على التداول

العدد : ١٨٧
التاريخ : ٢٠١٧/١/٢٩

نهديكم أطيب تحياتنا ...

يسر سوق العراق للأوراق المالية دعوتكم لتقديم عروضكم الخاصة بتجهيز (Trading Surveillance System) وفق المواصفات الفنية المرفقة ، على أن تقدم العطاءات بعرضين تجاري وفني بظرف مغلق الى سوق العراق للأوراق المالية ، وأن اخر موعد لتقديم العطاءات هو نهاية الدوام الرسمي يوم الخميس ٢٠١٧/٢/٢٣ .

مع التقدير

المرفقات :

- المواصفات الفنية وشروط المناقصة .

طه أحمد الربيعي
المدير التنفيذي
٢٠١٧/١/٢٩

نسخة منه :

- مكتب مجلس المحافظين بالأشارة الى قراركم .. مع التقدير .
- قسم الادارية .
- قسم الحسابات .
- قسم الانظمة الالكترونية .
- الموقع الالكتروني ولوحة الاعلانات .

Iraq Stock Exchange

Automated Systems Department

RFP-2 Jan 2017

Trading Surveillance System

We are inviting you to offer your offers of Trading Surveillance System to perform the following functions:

A) Technical Requirements:

1- The System must be compatible with X-Stream Trading System.
2- The System must work on-line with the Trading System, and offline.
3- The System work on platform :IBM x86 Linux, and OS/ RedHat
4- The System must be Monitoring the Shares and Bound.
5- The System will work with Informix Database.

B) Main Functions:

The Surveillance System must be cover this function:

1- Insiders: include: Members of the board of directors, their advisors, managing Director, chief Financial officer and internal and external auditor and any person in possession of such information that security.

Summed insiders restriction in three steps

First: it prohibits insiders before 15 days from the end of the term until the publication on of quarterly data.

Second: before 15days from the date of issuance of annual data until the date disclosed.

Third: during the period in which there are substantial piece of information until the date of disclosure the insider must restricted also.

2- Offense cross ticket: marking the ticket that performs Cross ticket to the same broker to different investors at a price lower or higher than the prevailing price during the session .

3- Offense ticket in the end of the session: marking the ticket of the last 15 minutes in the trading session, which performs "small quantity at price higher or lower" than the average price of a session for the same broker.

4- Offense Proportions affecting: marking the ticket to the investor which carries out the sale and purchase more than 10% of the security share capital.

5- Offense share: marking the ticket of the same investor and same share in different accounts for brokerage firms.

6- Abnormalities Prices: this done institute a specific changeable value by marker observers to identify abnormal price within specified price "10% up-down".

7- Orders withdrawn: marking any order withdraw through pre-open during the session.

C) Tender Conditions:

1- Offers (commercial and technical) should be delivered within the next 25 days after the announcement date.
2- Prices are in USD.
3- The company must offer similar projects.
4- The company must give a technical support.
5- The ISX is not committed to accept the lowest prices.
6- The price includes delivery and installation of these System inside the ISX building, in addition to commission and training.